



# Notice to Participating Organizations, Members and Subscribers

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## TMX Group to Offer Equity Pre-Trade Risk Management Solution

### First to market with a comprehensive market-wide solution

TMX Group today announced that it will be providing its equity trading clients with a comprehensive pre-trade risk solution. The TMX Pre-trade Risk Management Solution will provide clients with the seamless connectivity and technology needed for high performance pre-trade risk-filtered access to all Canadian equity marketplaces.

"We are offering a low latency and functionally rich solution to assist participants in managing the risks associated with electronic trading and to help comply with the upcoming regulatory and financial risk management obligations mandated by regulators," said Robert Fotheringham, Senior Vice President, Trading. "Our flexible solution also enables participants to leverage the economies of scale of an exchange-hosted solution to manage order flow, market access, and risk across the whole market."

By utilizing the TMX fully managed hosted service, participants can manage their risk and order flow. The hosted solution is fully customizable at the firm, trader, and client level, providing a high degree of control and authority. TMX's hosted service allows brokers to offer their clients a comprehensive view of their clients' risk and order flow, while maintaining a comprehensive view of their clients' risk and order flow.

Mantara, the service provider of the TMX Pre-trade Risk Management Solution, is providing low latency trading and risk solutions to the global trading community. Mantara's post-trade risk functionality is being used by leading buy and sell side firms to meet immediate and anticipated regulatory compliance.

"We are privileged that Mantara's technology has been chosen as the best-of-market solution for this offering," said Michael Chin, CEO and President, Mantara. "Our solution's advanced risk technology meets the ultra-low latency requirements of the exchanges as they provide their clients with the best-of-market solution."



## **About Mantara**

Headquartered in New York, NY, Mantara, Inc. is transforming global electronic trading through the development and delivery of ultra-low latency desktop trading and risk solutions. With the customizable,